FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK ATTORNEY'S DOCKET NO. NL 020502 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED U.S. Application No. (if known, see 37 CFR 1.5) OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB03/02321 May 27, 2003 June 13, 2002 TITLE OF INVENTION IMPROVED ELECTRO-OPTICALLY ACTIVE DEVICE APPLICANT(S) FOR DO/EO/US Mark Thomas JOHNSON; Alexander Victor HENZEN; Hugo Johan CORNELISSEN Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following Items and other information: 1. [X] This is a FIRST submission of Items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. [] 3. [] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. [] copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. [X] Is transmitted herewith (required only if not transmitted by the International Bureau). a. [] b. [X] has been transmitted by the international Bureau. c. [] Is not required, as the application was filed in the United States Receiving Office (RO/US). 6. [] A translation of the international Application into English (35 U.S.C. 371(c)(2)) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. [X] are transmitted herewith (required only if not transmitted by the international Bureau). a. [] b. [] have been transmitted by the international Bureau. c. [have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. 8. [] A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: An information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 11. [] An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. [X] 13. [X] A FIRST preliminary amendment. A SECOND OR SUBSEQUENT preliminary amendment. 14. [] A substitute specification. 15. [] A change of power of attorney and/or address letter. 16. [X] Other Items or Information: Power of Attorney to Prosecute Application Before the USPTO [PTO/SB/80] Statement under 37 CFR 3.73(b) [PTO/SB/96] Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account CERTIFICATE OF MAILING

[X] Express Mail Mailing Label No. EV 312014813-US
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I hereby certify that this paper and fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jeanne Rusciano Typed Name Hunn Juscian

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U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		R. 1.5) INTERNATION PCT /IB03/02	ONAL APPLICATION NO. 2321	ATTORNEY'S DOCKET NUMBER NL 020502	
17 [X] The following fees are submitted:				CALCULATIONS (PTO USE ONLY)	
BASIC NATIONAL FE	E (37 C.F.R. 1.492(A)(1)-(5	5)):			
	port has been prepared b	-	\$860.00		
International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00 No international preliminary examination fee paid to USPTO					
(37 C.F.R. (37 C.F.R.	tional preliminary examin 1.482) but International so 1.445(a)(2)	nation fee paid to USP1 earch fee paid to USP1	FO FO \$750.00		
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00					
Internation (37 C.F.R. : Article 33(2	al preliminary examination 1.482) and all claims satis 2)-(4)	on fee paid to USPTO sfied provisions of PC	T \$ 96.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	5 - 20 =	0	X \$ 18.00	\$ 0.00	
Independent claims	1 - 3 =	0	X \$ 86.00	\$ 0.00	
MULTIPLE DEPENDE	NT CLAIMS (if	- , _ ,	+ \$290.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00	
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$	
SUBTOTAL =				\$ 860.00	
	0.00 for furnishing the En	-	than [] 20 [] 30 +	\$	
TOTAL NATIONAL FEE =				\$ 860.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 900.00	
				Amount to be Refunded	\$
				Charged	\$
a. [] A check In	the amount \$	to cover the abo	ove fees is enclosed.		
b. [X] Please cha A duplicate	rge my Deposit Account copy of this sheet is end	No. <u>14-1270</u> In the ar closed.	mount of <u>\$ 900,00</u> to cover	the above fees.	
c. [X] The Comm required, o	Issioner is hereby author r credit any overpayment	ized to charge any add to Deposit Account N	ditional fee, with the excep o. <u>14-1270</u> . A duplicate c	tion of the Base Issue F opy of this sheet is enc	ee, which may be losed.
NOTE: Where an appi filed and granted to re	ropriate time limit under a store the application to p	37 C.F.R. 1.494 or 1.49 ending status.	5 has not been met, a petit	lon to revelve (37 C:F.R)	1.137(a) or (b)) must be
SEND ALL CORRESPO	ONDENCE TO:		_///	Not	
Corporate Patent Cou Philips Electronics No P.O. Box 3001 Briarcliff Manor, NY 10	rth America Corporation		(SIGNATURE) Aaron Waxier (NAME) 48.027		
			(REGISTRATION N	IUMBER)	

Dr05 Rec'd PCT/PTO 0 8 DEC 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

MARK T. JOHNSON et al.

NL 020502

Serial No.

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Group Art Unit

Filed: CONCURRENTLY

Ex.

IMPROVED ELECTRO-OPTICALLY ACTIVE DEVICE

Commissioner for Patents Alexandria, VA 22313-1450

AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

Aaron Waxler, Req. No. 48,027

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